



Western National Insurance Group  
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Bond Amount: \$ \_\_\_\_\_ Asset Value of Plan \*: \$ \_\_\_\_\_  
 (Please round to the next thousand or check rate chart for rates)

Effective: \_\_\_\_\_ Plan Sponsor (principal): \_\_\_\_\_

Legal Name of Plan: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

NAME OF PRIOR CARRIER : \_\_\_\_\_

PRIOR POLICY NO: \_\_\_\_\_ NO PRIOR COVERAGE

Number of Trustees, fiduciaries or employees who handle funds or property of the plan: \_\_\_\_\_

Have you ever declared bankruptcy or become insolvent? Yes No

**Please answer the following questions if bond amount exceeds \$100,000:**

Has the plan sustained any dishonesty losses in the last 5 years?	Yes	No
Are the assets of the Plan audited annually by a C.P.A.?	Yes	No
Have there been any prior identified uncorrected deficiencies?	Yes	No
Is there an independent financial advisor to the plan?	Yes	No
Is countersignature required to withdraw funds from the plan?	Yes	No
If no, is there a voucher or system in place to prevent Unauthorized issuance of checks?	Yes	No
Are bank statements reconciled monthly?	Yes	No
Does the person who reconciles the bank statement also Withdraw or deposit the funds?	Yes	No
Are there any assets invested in non-qualified assets?	Yes	No
If yes, what percentage? _____ %		

**Rates**

\* Western National's Surety ERISA bond contains an Inflation Guard provision which automatically provides a bond amount equal to that required by the ERISA act, provided the applicant purchases an amount equal to the required ERISA bond amount at the bond inception date. (Please note that the renewal premium after 3 years will increase 10% each renewal).

Penal Sum	3 Year Premium	Penal Sum	3 Year Premium	Penal Sum	3 Year Premium	Penal Sum	3 Year Premium
\$10,000	\$111	\$110,000	\$262	\$210,000	\$325	\$400,000	\$436
\$20,000	\$114	\$120,000	\$271	\$220,000	\$331	\$425,000	\$450
\$30,000	\$137	\$130,000	\$276	\$230,000	\$336	\$450,000	\$467
\$40,000	\$157	\$140,000	\$282	\$240,000	\$342	\$475,000	\$482
\$50,000	\$180	\$150,000	\$288	\$250,000	\$348	\$500,000	\$496
\$60,000	\$197	\$160,000	\$294	\$275,000	\$365		
\$70,000	\$217	\$170,000	\$302	\$300,000	\$379		
\$80,000	\$231	\$180,000	\$308	\$325,000	\$393		
\$90,000	\$245	\$190,000	\$314	\$350,000	\$408		
\$100,000	\$257	\$200,000	\$319	\$375,000	\$425		

**AGENT INFORMATION:**

AGENCY NAME: \_\_\_\_\_

AGENCY CODE: \_\_\_\_\_

AGENT/CSR: \_\_\_\_\_

PHONE: \_\_\_\_\_

**Attention:** Any person who knowingly and with intent to defraud a surety company or any other person files an application for a surety bond containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent act and may be subject to civil and/or criminal penalties.